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Charles

KENTUCKY LEGISLATIVE ETHICS COM

FEB 18 2008

STATEMENT OF FINANCIAL DISCLOSURE (KRS 6.787)

To be filed by: All members of the General Assembly, all candidates and nominees for election to the General Assembly, and major management personnel in the legislative branch of state government.
Check here and attach additional sheets if necessary Number of sheets attached.
Please Include The Following Information For The Preceding Calendar Year:
Name ROBERT L. HELENINGER Business address 7982 NEW LAGRANGE Rd. Suite 1; Lou. by 4022
Business address 7982 NEW LAGRANGE Rd. Suite 1) Lou. by 4022
Business telephone 302-327-6 (8)
Home address 3703 HAWOVER Rood: Love by Yozo7
Title of public position, or office sought STATE SENTE - 19th MISTRIET
Other occupations of filer ATTORNEY: INSTRUCTOR
Occupations of spouse Homemaker
NOTE: The Following Sections Do Not Require Disclosure Of Specific Dollar Amounts.
Positions held by filer in any business, partnership, corporation for profit, or corporation
not for profit from which the filer receives compensation, and the name of the business,
partnership, or corporation
TRUSTEE; THOMAS A. HELERINGER TRUST
TRUSTEE; THOMAS A. HELERINGER TRUST SOLE PROPRIETUR; ROBERT L. HELERINGER, ATTY AT LAW PART-TIME INSTRUCTOR; UNIVERSITY OF LOUISVILLE.
Positions held by filer's spouse in any business, partnership, corporation for profit, or corporation not for profit from which the filer receives compensation, and the name of the business, partnership, or corporation
NOME
•
Names and addresses of all businesses, investments, or securities in which the filer, filer's spouse, or filer's minor children had at any time during the reporting year an interest of \$10,000 at fair market value, or 5% ownership interest or more
TRUST OF THOMAS A HELENINGER; 22 STONEBRIPGE AL. Lou. by 40207
ROBT. L. HELENINGER ATTY AT CON: SAME APPRESS AS ABOVE FIRELITY INCOME - BALTIMORE MD - (SEP-IRA)

	OF ONE MUNOUGHBRED HOUSE CO-OWNER by
PENSION INC	CET ACCUT @ 141 CLIMPS LYONS OF ONE THOROUGHBRED HORSE CO-OWNED BY FILER OME- COMMONWEALTH OF KENTUCKY THIS Y SISTE
Sarraga and fami	of cross income of the filer (list courses by name)
INCOME	PERIVED FROM ROBT. HEVERINGER - BTTY HT LAW 11 " UNIVERSITY OF LOUISVILLE " SENVING AS TRUSTEE OF THOMAS.
() () () () () () () () () ()	" UNIVERSITY OF LOUISVILLE
	1151 611 111/2 6111
DIVIDENDE	FROM STOCKS OWNED BY ROBERT L. HELERING FROM SEP-IRA +SPOUSE(C).
AIVINENDS	FROM SEP-IRA TSPOUSE (C).
Sources and form	n of gross income of the filer's spouse (list sources by name)
	NONE - other than DIVIDENDE ABOVE
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	•
Positions of a fid	luciary nature in a business
	Alakie Col A - Tana - T
	NONE, except on TRUSTED F
	NONE, Except en TRYSTEE OF NECERINAL PRUSE, ABOVE.
	NONE, étagl en TRUSTES PF NOVE, étagl en TRUST, ABOVE.
	NONE, étagl en TRUSTES PF NECETIANNE PRUSE, ABOVE.
other than the file	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more filer's spouse, or filer's minor children
other than the file	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more
other than the file	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more filer's spouse, or filer's minor children
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other than the file held by the filer, Sources of gifts of	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more filer's spouse, or filer's minor children
other than the filer, held by the filer, Sources of gifts of the filer's immed	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more filer's spouse, or filer's minor children NONE of money or property with a retail value of more than \$200 to the filer or liate family, except those from a member of the filer's family. (Family
Sources of gifts of the filer's immed means spouse, pa	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more filer's spouse, or filer's minor children NONE of money or property with a retail value of more than \$200 to the filer or liate family, except those from a member of the filer's family. (Family arent, sibling, child, mother-in-law, father-in-law, son-in-law, daughter-
Sources of gifts of the filer's immed means spouse, pain-law, grandpare	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more filer's spouse, or filer's minor children
Sources of gifts of the filer's immed means spouse, pain-law, grandpare	commercial, residential, or rural, and the location of all real property er's primary residence, in which there is an interest of \$10,000 or more filer's spouse, or filer's minor children

	umer goods. (Goods used or bought for use primarily for personal, family, or old purposes) NONE
The nai	ne of any legislative agent who is:
	1. A member of the filer's immediate family;
	2. A partner of the filer, or a partner of a member of the filer's immediate family
	3. An officer or director of the filer's employer;
	4. An employer of the filer or an employer of a member of the filer's immediate
	family; 5. A business associate of the filer or a business associate of a member of the
•	filer's immediate family
	more a minimodiate ranning
	NONE
<u> </u>	
The nat	mes of any of the filer's clients who are legislative agents or employers
	NONE
TC 4	
ff was 1	have held a professional license during the filing period, has a properly licensed

If yes, list the names of the clients represented and list the agencies before which the partner made an appearance. The filer need not identify which client was represented before a specific agency.
Clients
N/A
•
State Agency
NA
NOTICES
1. Upon receipt by the Commission, a statement of financial disclosure shall be a public record available for copying.
2. Any person who fails to file a statement of financial disclosure or who fails to remedy a deficiency identified by the Commission in a timely manner may be fined an amount not to exceed \$100 per day up to a maximum total fine of \$1000.
3. Any person who files a statement of financial interests which they know to contain false information, or to omit required information, shall be guilty of a class A
misdemeanor.
Date
Send completed statements to: The Kentucky Legislative Ethics Commission 22 Mill Creek Park Frankfort, Kentucky 40601
If you have questions please call us at (502) 573-2863.

Stocks owned by Robert L. Heleringer and spouse, Cindy M. Heleringer

1. Churchill Downs 101 shares

2. National City Bank 100 shares

3. Pfizer, Inc. 140 shares

4. Microsoft Corp 125 shares

Mutual Funds (SEP-IRA)

Fidelity Income 3,600 shares (approx.)